

Impairment in Category Fluency in Ischemic Vascular Dementia

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The underlying mechanisms for impaired output on letter (*F*, *A*, and *S*) and category (e.g., animal) word list generation (WLG) tasks in subcortical ischemic vascular dementia (IVD) were investigated. Normal control (NC) and Alzheimer's disease (AD) participants were also studied. IVD and NC participants performed better on category than letter WLG tasks, whereas the opposite was observed among AD participants. IVD participants produced fewer responses than AD participants on letter WLG tasks, but there was no difference between AD and IVD participants on the "animal" WLG task. AD participants scored lower than IVD and NC participants on animal WLG indexes measuring semantic knowledge. There were few differences between IVD and NC participants. The reduced output on the animal WLG task for IVD participants is consistent with search-retrieval deficits. The reduced output of AD participants may be caused by degraded semantic knowledge.

Tests of letter and category word list generation (WLG) have been extensively used to study various aspects of cognitive functioning in both normal aging and a wide range of dementing illnesses. In an attempt to investigate the underlying mechanisms for successful performance on category WLG tasks, Gruenewald and Lockhead (1980) administered the animal WLG task to normal control (NC) participants. Two findings emerged from this study. First, the authors observed that clusters of semantically related responses (i.e., responses that shared many attributes) were produced relatively quickly. Second, Gruenewald and Lockhead (1980) observed that participants "shifted" or switched into other semantic clusters only after a comparatively long response latency. They proposed a two-component model to describe the performance of normal participants on category WLG tasks and concluded that the ability to generate successive clusters of semantically meaningful responses is independent from the ability to search and shift from one cluster to another.

Category WLG tasks have been used to investigate the semantic knowledge deficits associated with Alzheimer's disease (AD). For example, it has been shown that output on semantically related WLG tasks declines as the illness

advances (Ober, Dronkers, Koss, Delis, & Friedland, 1986; Rosen, 1980). In addition, AD participants tend to produce fewer responses on semantically based WLG tasks than phonemically based WLG tasks when compared to NC participants (Butters, Granholm, Salmon, Grant, & Wolfe, 1987; Weingartner, Kawas, Rawlings, & Shapiro, 1993).

AD participants have also been shown to make more category violations on semantic WLG tasks and to produce fewer responses per cluster (i.e., farm animals, fruits, and vegetables) than NC participants (Binetti et al., 1995; Martin & Fedio, 1983; Mickanin, Grossman, Onishi, Auriacombe, & Clark, 1994; Ober et al., 1986). Whether these findings are due to an actual loss or degradation of semantic information (Chan et al., 1993; Chertkow & Bub, 1990; Hodges, Salmon, & Butters, 1992; Martin, 1992) or to an inability to access semantic information that may be largely intact (Bonilla & Johnson, 1995; Nebes, 1989; Nebes, Martin, & Horn, 1984; Nebes, Brady, & Huff, 1989) has been hotly debated.

A different pattern of performance on letter and category WLG tasks has been reported among participants suffering from dementing illnesses known to disproportionately impair executive control functions, such as Huntington's disease (HD), Parkinson's disease (PD), and progressive supranuclear palsy (PSP). For example, over time, HD and PSP participants exhibit greater decline on phonemically based rather than semantically based WLG tasks, a pattern of performance opposite from that found in AD. Similarly, demented participants with PD have been shown to produce more responses on semantic as opposed to phonemic WLG tasks (Bayles, Trosset, Tomoeda, Montgomery, & Wilson, 1993; Beatty, Staton, Weir, Monson, & Whitaker, 1989). Comparisons between AD, HD, and PSP participants have shown that HD and PSP participants produce fewer responses on phonemic WLG tasks. On semantic WLG tasks, however, HD, PSP, and AD participants are equally impaired (Butters et al., 1987; Hodges, Salmon, & Butters, 1990; Rosser & Hodges, 1994; Monsch et al., 1994).

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An analysis of the organization of responses on category WLG tasks has shown that HD participants produce fewer clusters (i.e., successive responses from the same category) than AD and NC participants (Troster, Salmon, McCullough, & Butters, 1989). Using multidimensional scaling techniques, Chan and colleagues (Chan et al., 1993) showed that the semantic networks of HD participants are similar to NC participants. By contrast, the semantic networks of AD participants are severely disrupted. In general, these studies and others suggest that the reduced output on semantic WLG tasks in dementing illness such as HD, PD, and PSP may be explained by impaired initiation and deficient retrieval of semantic information, rather than actual degradation of semantic knowledge stores (Butters et al., 1987; Hodges, Salmon, & Butters, 1990; Milberg & Albert, 1989; Monsch et al., 1994; Randolph, Braun, Goldberg, & Chase, 1993; Rosser & Hodges, 1994). A similar conclusion was reached in studies of patients with focal frontal lobe lesions (Martin, 1992; Randolph et al., 1993).

Only a few studies have compared participants with AD and cerebrovascular dementia on WLG tasks, and no between-group differences have been reported (Bar, Benedict, Tune, & Brand, 1992; Bernard et al., 1992; Fischer, Gatterer, Marterer, & Danielczyk, 1988; Villardita, 1993). However, a variety of methodological problems may have been present in these studies. The rather advanced level of dementia (mean Mini-Mental State Examination = 16.3; Bar et al., 1992; Fischer et al., 1988) and the use of categories that are somewhat obscure (e.g., "furniture" in Fischer et al., 1988; "parts of buildings" in Bernard et al., 1992) may have minimized between-group differences. Also, letter and category WLG tasks were not directly compared, and an analysis of response style (e.g., clustering, etc.) on category WLG tasks was not carried out in any of these studies.

An association between significant periventricular and deep white matter alterations and greater impairment in the area of executive control, but relatively preserved performance on recognition memory tests, has been reported by researchers studying both healthy, elderly participants (Austrom et al., 1990; Boone et al., 1992; Breteler et al., 1994; Matsubayashi, Shimada, Kawamoto, & Ozawa, 1992; Schmidt et al., 1993; Ylikoski et al., 1993) and demented participants (Bogdanoff, Bonavita, Libon, Cass, & Cloud 1994; Fukuda, Kobayashi, Okada, & Tsunematsu, 1990; Gupta et al., 1988; Ishii, Nishihara, & Imamura, 1986; Kertesz, Polk, & Carr, 1990; Podell, Lamar, Resh, Libon, & Kennedy, 1996). Bogdanoff et al. (1994) and Podell et al. (1996) compared participants with AD and ischemic vascular dementia (IVD) caused by periventricular and deep white matter alterations. Bogdanoff et al. (1994) found that IVD participants made more perseverations and obtained lower scores on tests of executive control as compared to AD participants. On the California Verbal Learning Test (CVLT; Libon et al., 1996), IVD participants exhibited less forgetting, obtained higher test scores on all delayed free and cued recall and recognition test conditions, and made fewer intrusion errors than AD participants. Podell et al. (1996) also found that IVD participants made more perseverations

than AD participants and that the underlying mechanisms for the production of perseverations were different for each group of demented participants. For example, the perseverative behavior of IVD participants was associated with specific problems in terminating and shifting into new mental sets, whereas the perseverative behavior of AD participants appeared to be related to an inability to distinguish between semantic representational concepts.

The goal of this research is to investigate the mechanisms for the breakdown in performance on category WLG tasks in participants with IVD associated with subcortical periventricular and deep white matter alterations. AD and NC participants were used as control groups. Both semantic and phonemic WLG tasks were administered. As noted earlier, when the output on phonemic and semantic WLG tasks has been directly compared, participants whose dementia is associated with subcortical neuropathology have sometimes been shown to produce fewer responses on letter as compared to category WLG tasks (Bayles et al., 1993; Beatty, Monson, et al., 1989; Beatty, Staton, et al., 1989), with AD participants producing the opposite profile (Hodges, Salmon, & Butters, 1990). Because the neuropathology of our IVD participants is primarily confined to subcortical regions of the brain, our first prediction is that IVD participants will produce fewer responses on the letter rather than on the animal WLG task, whereas AD participants will produce the opposite profile.

Based on Gruenewald and Lockhead's (1980) model, we examined successive responses on the category (animal) WLG task and developed separate indices to assess the degree to which consecutive responses are semantically associated and organized into clusters. Past studies have shown that HD participants produce fewer clusters on semantically related fluency tasks (Troster et al., 1989). According to Gruenewald and Lockhead's model, we suggest that the cluster index we describe later is highly dependent upon executive control processes necessary to shift mental set to efficiently search for semantic information. Therefore, because IVD participants demonstrate a disproportionate degree of impairment in executive control functions as compared to other domains of cognitive functioning, our second prediction is that IVD participants will produce fewer clusters than AD participants.

Our third prediction is that IVD participants will demonstrate little to no impairment in semantic knowledge on the animal WLG task as compared to NC participants. Therefore, it is our expectation that IVD participants will obtain comparable scores to NC participants on animal WLG indices designed to measure the strength of association of semantic information. By contrast, AD participants will obtain lower scores on these indices than IVD and NC participants.

To bolster our claim that the underlying mechanisms for the reduced output on category WLG tasks in AD and IVD are different, the relationship between the animal WLG indices to be described later and performance on other neuropsychological tests such as the Boston Naming Test (BNT; Kaplan, Goodglass, & Weintraub, 1983), a test that is related to semantic knowledge functioning, and the Wechs-

sler Memory Scale—Mental Control subtest (WMS—MC; Wechsler, 1945) and the Goldberg Graphical Sequence Test (GST; Goldberg & Tucker, 1979), tests that are related to executive control, were analyzed. Our fourth prediction is that among AD participants performance on the BNT will only be correlated with category WLG indices that measure the integrity of semantic information. Among IVD participants, performance on the WMS—MC and GST tests will only be correlated with category WLG indices that measure the ability to actively search for and retrieve semantic information.

Method

Participants

All participants with dementia came from the Crozer-Chester Medical Center's Alexander Silberman Geriatric Assessment Program. This is a 4-day outpatient dementia evaluation program. All patients were examined by a social worker, geriatrician, neurologist, psychiatrist, and neuropsychologist. Appropriate laboratory studies were obtained on all participants. An MRI study of the brain was obtained on all participants except 3 participants in each group who were studied with CT scans. A clinical diagnosis was determined at an interdisciplinary team conference. On the basis of the team diagnosis, 40 participants were given a diagnosis of probable AD consistent with National Institute of Neurological and Communicative Disorders—Alzheimer's Disease and Related Disorders Association criteria (McKhann et al., 1984), and 35 participants were given a diagnosis of probable IVD using the California Criteria of Chui (Chui et al., 1992). In addition to periventricular and deep white matter alterations, all IVD participants had evidence of subcortical stroke on the basis of their MRI or CT scans of the brain and their neurological examinations. Neuroradiological studies indicated that all the cerebrovascular accidents of the IVD participants were primarily located in the centrum semiovale, various nuclei within the basal ganglia, thalamus, and pons. None of the AD participants demonstrated these changes on MRI or CT. Seven IVD participants were excluded because their radiological studies revealed cortical infarcts. Therefore, the IVD group was comprised of 28 participants. There were no differences between the IVD and AD groups on the Mini-Mental State Examination (MMSE; Folstein, Folstein, & McHugh, 1975).

Thirty-one NC participants were also studied. NC participants were included if they obtained a score of 27 or greater on the MMSE and scored 10 or less on the Geriatric Depression Scale (GDS; Yesavage, 1986). Any control or experimental participant who presented with a head injury, seizure disorder, PD, major psychiatric problems including substance abuse, or who was taking psychoactive medication was excluded. These data were gathered on the basis of a clinical interview with the participant and a spouse or other knowledgeable family member. There were no differences between the three groups in age (see Table 1). The NC group, however, had more years of education than the IVD group, $t(57) = 2.07, p < .043$. The NC group also obtained a slightly lower score on the GDS than both the AD, $t(67) = 3.37, p < .001$, and IVD, $t(55) = 3.34, p < .002$, groups.

WLG Tests

All participants took letter and category fluency tests. On the letter WLG test, participants were given 60 s to generate words, excluding proper nouns, beginning with a specified letter (*F, A, S*).

Table 1

Means and Standard Deviations for Alzheimer's Disease (AD), Ischemic Vascular Dementia (IVD), and Normal Control (NC) Groups

Variable	AD		IVD		NC	
	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>
Age	76.8	5.9	77.7	6.0	76.4	6.6
Education	12.2	2.3	11.2	3.1	12.8	2.6
MMSE	22.2	3.0	20.8	4.4	28.8	1.1
GDS	5.6	4.3	5.5	4.0	2.5	2.6

Note. MMSE = Mini-Mental State Examination; GDS = Geriatric Depression Scale.

The dependent variable was the number of responses summed across the three letters. In addition, total number of perseverations, intrusion errors (i.e., responses that do not begin with the specified letter or neologisms), and rule violations (i.e., proper nouns) were calculated.

The animal WLG task was administered by asking participants to produce as many names of animals as possible in 60 s. The dependent variable was the total number of responses, excluding perseverations and extracategory intrusion responses ("chair"). The animal WLG task was chosen for the present research because, as will be described later, a wide variety of taxonomic and zoological subcategories already exist for this information. This permits a more fine-grained analysis of participants' performance on this task because of the opportunity to analyze separately both superordinate and subordinate attributes. Participants' responses from the animal WLG task were then coded on one attribute from each of the following six categories.

1. *Size (big, small).* Big animals were defined as being taller than the average human at the shoulder, weighing more than 300 pounds, or both (e.g., giraffe). This operational definition was applied on an a priori basis, because it is concrete and minimizes confusion (see Appendix).

2. *Geographic location (foreign, local).* Foreign animals were defined as animals that are not indigenous to North America (e.g., elephant, jaguar).

3. *Habitat (farm, pet, water, prairie, forest, African-jungle, Australian, widespread).* Farm animals included any animal that is commonly found on a farm or ranch and is kept as livestock (e.g., cow, horse, camel). Pets included common household animals such as cat, dog, gerbil, and parrot. Water animals were defined as animals who either live in the water or are ecologically associated with the water (e.g., beaver). Prairie animals were defined as nondomesticated, grazing animals (e.g., antelope). Forest animals included animals who live in forested temperate climate areas. African-jungle animals were defined as those that live either in Africa or in tropical climates. Australian animals refer to animals only found on the continent of Australia. Animals were classified as widespread if they live in multiple geographic-ecological systems (e.g., rats, bees; Sims, 1980).

4. *Zoological class.* These attributes refer to whether an animal belongs to one of the following taxonomic classes: insects, mammals, birds, fish, amphibians, or reptiles (Sims, 1980).

5. *Zoological orders, families, and related groupings.* These attributes refer to whether an animal belongs to one of the following 18 zoological orders or families (Sims, 1980): feline, canine, equine, ursine, suidae (pigs), bovine, primate, cervidae, marsupial, rodentia and related animals (including mustelidae, skunk; insectora, hedgehog; procyonidae, racoon; leporidae, rabbits, myrmecophagidae, anteater, armadillo, aardvark, sloth), artiodactyla (giraffe, camels), squamata (snakes, lizards), cetacea

(whales, dolphins), crocodilia, arachniae (spiders), crustacea (lobsters), and mollusca (octopus, clams).

Ten nontaxonomic but related animal groupings were included in this category of attributes. These included pachyderm, fowl, sea mammals (all ocean mammals excluding whales and dolphins), turtles and tortoises, flightless birds, flying birds, crawling insects, flying insects, freshwater fish, and saltwater fish. Pachyderms were defined as any large, hooved, thick-skinned mammals and included only the following animals: elephants, rhinoceros, hippopotamus, and tapirs. Fowl were defined as birds commonly used as food or hunted as game. Although these groupings are not formal taxonomic designations, we decided to include these groupings because participants often used these structures in generating responses on the category WLG task.

6. *Diet (herbivore, carnivore, omnivore).* Herbivores included all grazing animals that eat primarily plants or fruit. All meat-eating or flesh-eating animals were coded as carnivores. Animals who eat both vegetables and fruit and meat were coded as omnivores. A scoring key was compiled from the entire corpus of responses produced by all participants (see Appendix). Decisions regarding how to classify animals were based on the work of Sims (1980).

Certainly, this scoring technique is very similar to the animal WLG measures described by Chan et al. (1993); however, there are several differences. Chan and colleagues only scored a subset of the responses produced by their participants and only analyzed them on a limited number of categories or attributes. Our rationale for scoring *all* responses on the *six* categories described previously is twofold. First, because we did not have any way to truly predict what schemata participants might use to organize this information, we did not want to bias our results by imposing any preconceived ideas in the construction of our scoring system. We acknowledge that any number of categories are valid and might have been used. Also, it was not our expectation that participants have explicit knowledge of such taxonomic structures as "artiodactyla" or "squamata." Nonetheless, because taxonomic categories are based on observable characteristics, such as how animals look and make a living, we believe that participants have some implicit knowledge of these groupings. We also felt justified in including a broad array of categories that describe a wide variety of animal characteristics, because it provides an independently derived heuristic mechanism by which animals can be matched on superordinate and subordinate attributes. For example, response strings such as "moose, gorilla, lion, deer" and "cheetah, leopard, lion, tiger" are each characterized as large, wild mammals. However, the latter string is clearly more semantically related than the former. Our scoring system was designed to capture such distinctions. Finally, from a psychometric standpoint, giving participants an opportunity to match consecutive responses on six categories is a way to maximize variance so that the dependent variables derived from these data do not suffer from restriction of range.

After each response was coded on one attribute from each of the six categories described previously, six additional dependent variables were derived.

1. *Association Index (AI).* The AI is the cumulative number of shared attributes between all successive responses divided by the total number of words generated minus one (see Table 2). Table 2 illustrates how the AI was derived. NC participant No. 3024 produced 14 responses. Starting with the second response and moving across the page, "horse" matches with the first response (i.e., "bear") on three attributes (big, local, and mammal). Therefore, the total shared attributes for the first two responses is 3. The third response is "cow." As seen on Table 2, "cow" matched with "horse" on five attributes (big, local, herbivore, mammal, and farm). Thus, a 5 is entered in the column marked "sum of attributes" on the far right in Table 2. Following this procedure of

matching each successive response on the 49 attributes listed previously, the total sum of the shared attributes for this participant is 46. The AI for this participant is 3.54 or $46 / (14 - 1)$. The sum of the shared attributes was divided by the number of responses minus one to guard against inflating the AI, because the attributes of the first response are never actually figured into the sum of the scaled attributes. This index was devised to provide a measure of the organization or strength of association between all consecutive responses.

Upon inspection, it appeared that two sets of attributes were represented. One set of attributes appeared to describe rather general, *superordinate* characteristics of animals. These include the following nine attributes: big, small, foreign, local, herbivore, carnivore, omnivore, mammal, and widespread. By contrast, a second set of attributes appeared to describe relatively specific, *subordinate* characteristics of animals. These attributes included all of the zoological designations of class, order, and family, except for mammal, and all attributes pertaining to habitat except widespread.

For each participant, three separate AIs were compiled based on the number of superordinate attributes (SUPER-AI), the subordinate attributes (SUB-AI), and the TOTAL-AI. The decision to calculate separate AIs was made because the nine superordinate attributes listed previously describe characteristics that are common and generic to many different types of animals. Thus, animals that share these attributes may have only relatively weak or superficial semantic relationships between each other. On the other hand, the subordinate attributes listed previously are restricted to particular subsets of responses and pertain to very specific, defining characteristics of animals. Thus, the ability to generate response strings that are rich in these very specific, defining characteristics may provide a truer or more sensitive measure of the strength or depth of semantic association between successive responses.

Perseverative responses were scored differently from regular responses. In the case of perseverative responses, only those attributes that were in common with the responses that occurred immediately before and after the perseverative response were coded. For example, AD participant No. 1005, shown in Table 2, produced the perseverative response "rhinoceros." Any attribute that was shared by the previous response "goats," the perseverative response "rhinoceros," and the following response "dog" was coded. For AD participant No. 1005, the perseverative response effectively linked only one attribute (i.e., mammal) between the previous response "goats" and the following response "dog." No other attributes are shared by all three of these responses. Therefore, a total of 1 (the sum of shared attributes) was used in the calculation of the AI. This alteration in scoring was instituted so as not to bias or inflate the AI measures. Nonspecific responses such as "fish" and "birds" were excluded from the compilation of the AI as well as all of the other indices described later because it is unclear which fish or birds participants had in mind. Therefore, it was not possible to code such responses on all six categories of attributes listed previously.

2. *Number of clusters (CLUSTERS).* CLUSTERS are a group of two or more consecutive responses that share *any* four attributes. The decision to define clusters on the basis of four attributes was made to ensure a minimum degree of association between successive responses. Upon inspection, we felt that clusters defined on the basis of fewer than four shared attributes sometimes resulted in groupings that were not meaningful. For example, although there may be a certain degree of association in a response string such as moose and cow (i.e., big, herbivore, and mammal), there is less intrinsic association in a response string such as frog and ant (i.e., small, native, and widespread). Yet, in both examples, these response strings share three attributes.

As illustrated in Table 2, there were four occasions where NC

participant No. 3024 matched successive responses on at least four attributes (i.e., Cluster 1, "cow, horse"; Cluster 2, "duck, geese"; Cluster 3, "deer, moose"; Cluster 4, "koala bear, panda bear, zebra, giraffe, elephant"). This index was developed to measure the executive control ability that is required to search and shift into multiple semantic fields.

3. *Average cluster size (SIZE)*. The SIZE is the total number of words in the cluster divided by the number of clusters (NC participant No. 3024, $11/4 = 2.75$). A low score on this index may be caused by degraded semantic knowledge.

4. *Percent of the total output in cluster (PERCENT)*. The PERCENT is the number of words in the cluster divided by the number of total correct responses (NC participant No. 3024, $11/14 = 79\%$). As with the AI, the PERCENT index was designed to measure the semantic interrelatedness between responses. Because only exemplars that are highly related or are associated were coded as within a cluster, a high score on this index is thought to reflect relatively intact associations between concepts in semantic knowledge.

As in the letter WLГ task, errors were also scored. The number of perseverations, nonspecific responses (i.e., instances where participants responded "fish," "insects," "birds," etc.) and category violations errors (e.g., "chair") were totaled. Phonemic clusters were also tallied, that is, instances where consecutive responses rhyme or share the same first or last consonant (e.g., *cat* and *rat*, *bear* and *buffalo*).

Neuropsychological Assessment

In addition to taking tests of letter and category WLГ, participants took the 60-item version of the BNT (Kaplan, Goodglass, & Weintraub, 1983), the WMS-MC subtest (Wechsler, 1945), and the Goldberg GST (Goldberg & Tucker, 1979). The dependent variable for the BNT was the number of items correctly named. For the WMS-MC subtest, the 9-point scoring system as described in the test manual was the dependent variable. On the GST, participants were asked to draw or to write easily recognizable geometric objects, shapes, and letters. At various times throughout the test, participants were required to switch their mode of output, that is, instead of *drawing* geometric shapes such as circles, squares, and triangles, participants were required to *write* sentences using the words "circle, square, and triangle," and so on. The dependent

variable was the total number of perseverations made throughout the test.

Results

The effect of group on WLГ task performance was analyzed with a series of univariate analyses of variance (ANOVAs), multivariate analyses of variance (MANOVAs), and chi-square analyses. In these analyses, the variable group (i.e., AD, IVD, NC) was the independent variable, and all eight of the measures derived from both WLГ tasks were the dependent variables. Because there were between-group differences in education and the GDS, these variables were covaried in all analyses. The Bonferroni correction was applied to all post hoc analyses depending on the number of variables contained within each univariate or multivariate ANOVA.

Output from the two WLГ tasks was analyzed with a Task (letter vs. animal) \times Group (AD, IVD, vs. NC) repeated measures ANOVA. To directly equate performance on the two WLГ tasks, we divided output on the letter WLГ by three. This analysis yielded a main effect for group, $F(2, 93) = 138.34, p < .001$, and a significant Group \times Task interaction, $F(2, 93) = 7.72, p < .001$. NC participants produced significantly more total responses than AD and IVD participants on both the letter and category WLГ tasks at or above the $p < .001$ level (see Table 3). Although between-group analyses found no difference for the number of total responses among the AD and IVD groups on the animal WLГ, AD participants produced significantly more responses on the letter WLГ test than IVD participants, $t(66) = 4.06, p < .001$. Within-group analyses of the two WLГ tasks indicated that AD participants tended to produce fewer responses on the animal WLГ as compared to the letter WLГ task, $t(39) = 2.24, p < .031$. However, IVD and NC participants generally produced more responses on the animal WLГ task as compared to the letter WLГ task: IVD, $t(27) = 3.50, p < .002$; NC, $t(30) = 2.39, p < .023$.

Because the CLUSTER index is not corrected for total

Table 3
Means and Standard Deviations for Letter and Animal Word List
Generation (WLГ) Tasks

Measure	AD		IVD		NC	
	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>
Total number of responses						
Letter WLГ	23.3	9.6	15.0	6.2	45.3	12.9
Category (animal WLГ)	6.7	2.5	6.9	2.7	17.7	4.7
Indices compiled from the animal WLГ task						
AI	2.7	.85	3.3	0.84	3.4	0.50 ^b
SUPER-AI	2.2	.67	2.6	0.62	2.6	0.33 ^b
SUB-AI	0.43	0.30	0.68	0.33	0.81	0.32 ^b
Number of clusters	1.4	1.0	2.2	1.0	4.9	1.5 ^a
Cluster size	1.9	0.94	2.3	0.42	2.7	0.56 ^a
Percent in cluster	45.4	27.1	72.6	22.8	75.6	16.8 ^b

Note. AD = Alzheimer's disease; IVD = ischemic vascular disease; NC = normal control; AI = total association index; SUPER-AI = superordinate association index; SUB-AI = subordinate association index.

^aAD < IVD < NC. ^bAD < IVD = NC.

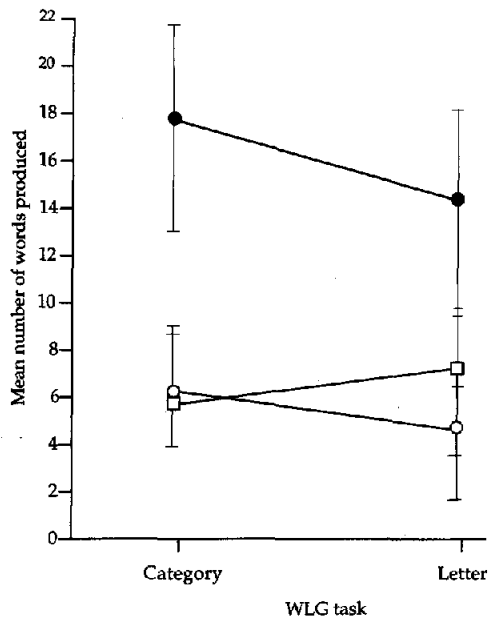


Figure 1. Output on letter and category (animal) word list generation (WLG) tasks for Alzheimer's disease (AD; squares), ischemic vascular dementia (IVD; open circles), and normal control (NC; solid circles) participants.

output, of course the NC group is expected to outperform the AD and IVD groups on this measure. This measure was analyzed separately with a single ANOVA. As expected, a highly significant effect of group was obtained, $F(2, 92) = 60.11, p < .001$. The NC group outperformed the AD and IVD groups at or above $p < .001$. Contrary to our expectation, however, IVD participants produced more clusters than AD participants, $t(66) = 2.96, p < .004$.

The effect of group on the TOTAL-AI was assessed with a one-way ANOVA. The analysis yielded a significant effect for group, $F(2, 92) = 8.94, p < .001$. Follow-up analyses indicated that the IVD group outperformed the AD group, $t(66) = 3.13, p < .003$; there was no difference between the IVD and NC groups; and the AD group obtained a lower score than the NC group, $t(69) = 4.22, p < .001$. The SUPER-AI and SUB-AI were analyzed together in a single MANOVA, and a significant multivariate effect for group was found, $F(4, 184) = 5.64, p < .001$. Both univariate ANOVAs were significant, $F(2, 92) = 4.98, p < .009$, $F(2, 83) = 9.23, p < .001$, for SUPER-AI and SUB-AI, respectively. For the SUPER-AI, follow-up comparisons indicated that the IVD group obtained a slightly better score than the AD group, $t(66) = 2.49, p < .019$; there was no difference between the NC and IVD groups; and the NC group significantly outperformed the AD group, $t(69) = 2.77, p < .007$. For the SUB-AI, the IVD group, again, outperformed the AD group, $t(57) = 2.93, p < .005$; there was no difference between the IVD and NC groups; and the NC group obtained a higher score than the AD group, $t(63) = 4.98, p < .001$.

The SIZE and PERCENT indices were analyzed together in a single MANOVA, and a significant multivariate effect

for group was found, $F(4, 180) = 9.90, p < .001$. Both univariate analyses were also significant, $F(2, 92) = 10.36, p < .001$, $F(2, 92) = 17.04, p < .001$, for SIZE and PERCENT, respectively. On the SIZE index, the NC group outperformed the AD and IVD groups at or above $p < .001$. In addition, there was a trend for IVD participants to produce larger clusters (SIZE) than AD participants, $t(66) = 1.97, p < .053$. On the PERCENT index, a higher percentage of total responses of the IVD participants was within a cluster in comparison to AD participants, $t(66) = 4.33, p < .001$. There was no difference between the IVD and NC groups. The AD group obtained a lower score on this measure than the NC group, $t(69) = 5.53, p < .001$.

Because individual participants tended to make only a few perseverations, nonspecific responses (e.g., "fish," "birds"), extracategory intrusions, or phonemic clusters on the letter or category WLG task, chi-square analyses were used to assess between-group differences. No between-group differences were found on either WLG task.

Correlations were calculated only for the AD and IVD groups between SUPER-AI, SUB-AI, PERCENT, SIZE, and CLUSTER indices and performance on the BNT, WMS-MC subtest, and the GST. For the AD group, significant correlations were found such that as scores on the BNT increased, participants obtained higher scores on the SUB-AI, SIZE, and CLUSTER indices (SUB-AI, $r = .43, p < .002$; SIZE, $r = .38, p < .009$; CLUSTER, $r = .44, p < .002$). Correlational analyses involving the WMS-MC subtest and GST were not significant. A different profile emerged for the IVD group such that as participants made more perseverations on the GST, the numbers of CLUSTERS on the animal WLG task declined ($r = -.43, p < .023$). None of the correlations involving the WMS-MC subtest or BNT reached significance.

Discussion

AD and cerebrovascular dementia are the two most prevalent dementing illnesses (Katzman & Kawas, 1994); however, there is a paucity of research findings that can differentiate between these two disorders. One reason for this is that there is a considerable degree of heterogeneity among the vascular dementias (see Wallin & Blennow, 1993, for a review). In the present research, the vascular dementing syndrome we studied was primarily associated with subcortical white matter alterations. The purpose of the present study was to investigate the underlying mechanisms for the reduced output on the animal WLG task among participants with this subtype of IVD.

In an attempt to gain a better understanding of the nature of the deficit on category WLG tasks in the IVD group, phonemic and semantic WLG tasks were compared. Within-group comparisons showed that IVD and AD participants produced opposite profiles with respect to the number of responses generated on each WLG task. IVD and NC participants produced more responses on the animal as compared to the letter WLG task, whereas AD participants produced the opposite profile. In addition, between-group analyses indicated that IVD participants generated fewer

words than AD participants on the letter WLG task. Both findings are similar to past studies showing that HD and PSP participants, whose dementia has traditionally been associated with subcortical pathology, perform worse on phonemic WLG tasks in comparison to AD participants (Hodges, Salmon, & Butters, 1990; Monsch et al., 1994; Rosser & Hodges, 1994). This pattern of performance has been interpreted as evidence for greater search and retrieval deficits among participants whose dementia is associated with subcortical alterations but greater semantic knowledge deficits in AD (Rosser & Hodges, 1994).

The CLUSTER index was developed in an attempt to assess participants' ability to shift mental set in their search for information. Because past research has shown that IVD participants demonstrate a disproportionate degree of impairment in executive control as compared to other domains of cognitive functioning, our second prediction was that IVD participants would produce fewer clusters than AD participants. However, the results we obtained were inconsistent. Contrary to our expectation, IVD participants did not produce fewer numbers of CLUSTERS in comparison to AD participants. Yet, the correlational analyses that were carried out indicated that, as IVD participants made *more* perseverations on the GST, that is, demonstrating *greater* problems in shifting mental set, their output on the animal WLG task was organized into *fewer* clusters. By contrast, no significant correlations were found between the animal WLG indices and tests of executive control functions for the AD group. Thus, the degree to which deficits in shifting mental set contribute to the reduced output on the animal WLG task in IVD is unclear and requires more research.

IVD participants differed in comparison to NC participants only in terms of the SIZE of their clusters. Originally, we conceived of the SIZE index as a means to measure semantic rather than executive functions. However, within the context of the IVD participants' high AI scores, their low SIZE index in relation to NC participants may reflect a combination of deficient retrieval strategies and difficulty in maintaining response set secondary to impairment in executive control.

Our third prediction was that IVD participants would demonstrate preservation of semantic knowledge comparable to the NC participants. As noted earlier, there was no difference in total output on the animal WLG task between the AD and IVD groups. However, when the compilation of the TOTAL-AI, SUB-AI, SUPER-AI, and PERCENT indices were controlled for output, IVD participants produced higher scores than AD participants. Moreover, there were no differences on these measures between the IVD and NC groups. Thus, despite the fact that IVD and AD participants produced an equal absolute number of responses on the animal WLG task, NC and IVD participants, unlike AD participants, produced responses that were highly semantically related.

By contrast, AD participants produced TOTAL-AI, SUB-AI, SUPER-AI, and PERCENT measures that were significantly lower than IVD and NC participants. The reason for this was that AD participants were generally unable to generate successive responses that were rich in very specific,

subordinate attributes. This suggests that AD participants lose the subordinate, defining features of exemplars within semantic categories, and the remaining general or superordinate features are not sufficient to organize semantic information meaningfully.

In light of the AD participants' very low AI scores, their low scores on the SIZE and PERCENT indices suggest that the output of AD participants were not organized into semantic clusters. This is contrary to the output produced by IVD and NC participants and implies that AD participants do not perform the animal WLG task in the same manner Gruenewald and Lockhead (1980) proposed normal participants execute the task. AD participants are not generating exemplars from subordinate semantic fields within the larger category of animals, because the knowledge necessary to organize exemplars in an optimal, semantically meaningful fashion is lost or degraded. Instead, for AD participants, both related and unrelated exemplars are equally activated during the WLG tasks and are equally likely to be generated following a given response. By contrast, our data indicate that IVD participants attempt to perform the animal WLG task in a manner more similar to NC participants.

These findings were supported by correlational analyses performed between the category WLG indices and the BNT. For the AD group, significant relationships were found between animal WLG indices that measure the strength of association between consecutive responses and the BNT, a test related to semantic functioning. Thus, to the extent that AD participants obtained *better* scores on the SUB-AI and SIZE indices, their corresponding scores on the BNT *increased*. None of the correlations between the animal WLG indices and the BNT were significant for the IVD group.

Several theoretical positions have been proposed that attempt to explain the differing patterns of performance on letter and category WLG tasks in dementia. The degradation hypothesis suggests that participants experience a deterioration of the actual structure of semantic knowledge such that the associations between concepts and the constituent attributes that truly define the specific nature of objects are lost or degraded (Chertkow & Bub, 1990; Hodges, Salmon, & Butters, 1992; Martin, 1992). Alternatively, the disrupted access hypothesis holds that semantic knowledge is essentially intact but that participants are unable to either access or to use their semantic knowledge appropriately (Bonilla & Johnson, 1995; Johnson, Hermann, & Bonilla, 1995; Hartman, 1991; Nebes, 1989; Nebes, Brady, & Huff, 1989; Nebes, Martin, & Horn, 1984).

We feel that the test scores produced by the AD participants tend to support the degradation hypothesis. We acknowledge, however, that the primary focus of this research did not directly address the nature of the semantic knowledge deficit in AD; thus, other explanations are certainly possible. With respect to the IVD participants, performance on the animal WLG task appears to be most consistent with the disrupted access hypothesis. Our data may be interpreted to suggest that disrupted access to semantic knowledge in IVD may be due to retrieval deficits. The evidence we found suggesting that IVD participants'

reduced output on the animal WLG is due to executive control deficits, such as difficulty in maintaining and shifting mental set, was equivocal. Indeed, it is possible that other additional cognitive impairments may contribute to the reduced output of the IVD participants. For example, cognitive slowing, in general, is often associated with dementing illnesses involving subcortical neuropathology and may also be at least partially responsible for the reduced output of IVD participants on semantic WLG tasks.

In sum, our findings indicate that a more detailed analysis of the output produced from the animal WLG task can show material differences between participants with AD and IVD associated with periventricular and deep white matter alterations. We believe that the performance of our AD participants on the indices described previously is very likely caused by an overall dissolution of semantic knowledge, whereas the difficulties exhibited by the IVD participants are due to deficits in the retrieval of information. The retrieval deficits of the IVD participants may be due, in part, to impairment in executive control such that these participants have difficulty in maintaining and shifting mental set to search for and retrieve semantic information efficiently.

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Appendix

Animal Word List

Item	Size	Location	Class	Order	Diet	Habitat
Aardvark	Small	Foreign	Mammal	Myrmecophagidae	Carnivore	Widespread
Alligator	Big	Native	Reptile	Crocodylia	Carnivore	Water
Anteater	Small	Foreign	Mammal	Myrmecophagidae	Carnivore	African/jungle
Antelope	Big	Native	Mammal	Bovine	Herbivore	Prairie
Ants	Small	Native	Insect	Crawling insects	Omnivore	Widespread
Ape	Big	Foreign	Mammal	Primate	Herbivore	African/jungle
Baboon	Big	Foreign	Mammal	Primate	Herbivore	African/jungle
Badger	Small	Native	Mammal	Rodenta and related	Carnivore	Widespread
Bat	Small	Local	Mammal	Rodenta and related	Carnivore	Widespread
Bear	Big	Native	Mammal	Ursine	Omnivore	Forest
Beaver	Small	Native	Mammal	Rodenta and related	Herbivore	Water
Bees	Small	Native	Insect	Flying insect	Herbivore	Widespread
Beetle	Small	Native	Insect	Crawling insect	Omnivore	Widespread
Bison	Big	Native	Mammal	Bovine	Herbivore	Prairie
Blackbird	Small	Native	Bird	Flying bird	Omnivore	Widespread
Bluejay	Small	Native	Bird	Flying bird	Omnivore	Widespread
Boar	Big	Native	Mammal	Porcine	Omnivore	Widespread
Bobcat	Small	Native	Mammal	Feline	Carnivore	Widespread
Buffalo	Big	Native	Mammal	Bovine	Herbivore	Prairie
Bull	Big	Native	Mammal	Bovine	Herbivore	Widespread
Camel	Big	Foreign	Mammal	Artiodactyla	Herbivore	Farm
Canary	Small	Foreign	Bird	Flying bird	Herbivore	Pet
Cardinal	Small	Native	Bird	Flying bird	Omnivore	Widespread
Cat	Small	Native	Mammal	Feline	Carnivore	Pet
Cattle	Big	Native	Mammal	Bovine	Herbivore	Farm
Cheetah	Small	Foreign	Mammal	Feline	Carnivore	African/jungle
Chickadee	Small	Native	Bird	Flying bird	Omnivore	Widespread
Chicken	Small	Native	Bird	Fowl	Herbivore	Farm
Chipmunk	Small	Native	Mammal	Rodenta and related	Omnivore	Forest
Cougar	Big	Native	Mammal	Feline	Carnivore	Widespread
Crab	Small	Native	Fish	Crustacea	Omnivore	Water
Crocodile	Big	Native	Reptile	Crocodylia	Carnivore	Water
Crow	Small	Native	Bird	Flying bird	Omnivore	Widespread
Deer	Big	Native	Mammal	Cervidae	Herbivore	Forest
Doe	Big	Native	Mammal	Cervidae	Herbivore	Forest
Dog	Small	Native	Mammal	Canine	Carnivore	Pet
Dolphin	Big	Native	Mammal	Cetacea	Carnivore	Water
Donkey	Big	Native	Mammal	Equine	Herbivore	Farm
Duck	Small	Native	Bird	Fowl	Omnivore	Farm
Eagle	Big	Native	Bird	Flying bird	Carnivore	Widespread
Eel	Small	Native	Fish	Squamata	Carnivore	Water
Elephant	Big	Foreign	Mammal	Pachyderm	Herbivore	African/jungle
Elk	Big	Native	Mammal	Cervidae	Herbivore	Forest
Ferret	Small	Native	Mammal	Rodenta and related	Omnivore	Forest
Finch	Small	Native	Bird	Flying bird	Herbivore	Widespread
Fleas	Small	Local	Insect	Land insect	Omnivore	Widespread
Flies	Small	Local	Insect	Flying insect	Omnivore	Widespread
Fox	Small	Native	Mammal	Canine	Carnivore	Forest
Frog	Small	Local	Amphib	Squamata	Carnivore	Water
Geese	Small	Native	Bird	Fowl	Herbivore	Farm
Gerbil	Small	Native	Mammal	Rodenta and related	Herbivore	Pet
Guinea pig	Small	Foreign	Mammal	Rodenta and related	Herbivore	Pet
Giraffe	Big	Foreign	Mammal	Artiodactyla	Herbivore	African/jungle
Goat	Small	Native	Mammal	Bovine	Herbivore	Farm
Gopher	Small	Native	Mammal	Rodenta and related	Herbivore	Widespread
Gorilla	Big	Foreign	Mammal	Primate	Herbivore	African/jungle
Groundhog	Small	Native	Mammal	Rodenta and related	Omnivore	Forest
Hamster	Small	Native	Mammal	Rodenta and related	Herbivore	Pet
Hawk	Big	Native	Bird	Flying bird	Carnivore	Widespread
Hedgehog	Small	Foreign	Mammal	Rodenta and related	Carnivore	Widespread
Hermit crab	Small	Native	Fish	Crustacea	Omnivore	Water
Heron	Small	Native	Bird	Flying bird	Carnivore	Water
Herring	Small	Native	Fish	Salt fish	Carnivore	Water
Hippopotamus	Big	Foreign	Mammal	Pachyderm	Herbivore	Water
Horse	Big	Native	Mammal	Equine	Herbivore	Farm

Appendix (Continued)

Animal Word List

Item	Size	Location	Class	Order	Diet	Habitat
Horseshoe crab	Small	Native	Fish	Crustacea	Omnivore	Water
Hound	Small	Native	Mammal	Canine	Carnivore	Pet
Hyena	Small	Foreign	Mammal	Canine	Carnivore	African/jungle
Jackal	Small	Foreign	Mammal	Canine	Carnivore	African/jungle
Jaguar	Big	Native	Mammal	Feline	Carnivore	African/jungle
Kangaroo	Big	Foreign	Mammal	Marsupial	Herbivore	Australia
Kitty	Small	Native	Mammal	Feline	Carnivore	Pet
Koala	Small	Foreign	Mammal	Ursine	Herbivore	Australia
Lamb	Small	Native	Mammal	Bovine	Herbivore	Farm
Lark	Small	Native	Bird	Flying bird	Omnivore	Widespread
Leopard	Big	Foreign	Mammal	Feline	Carnivore	African/jungle
Lion	Big	Foreign	Mammal	Feline	Carnivore	African/jungle
Lizard	Small	Native	Reptile	Squamata	Carnivore	Widespread
Llama	Big	Foreign	Mammal	Artiodactyla	Herbivore	Farm
Lobster	Small	Native	Fish	Crustacea	Omnivore	Water
Lynx	Small	Native	Mammal	Feline	Carnivore	Widespread
Man	Big	Native	Mammal	Primate	Omnivore	Widespread
Mink	Small	Native	Mammal	Rodenta and related	Carnivore	Water
Monkey	Small	Foreign	Mammal	Primate	Herbivore	African/jungle
Moose	Big	Native	Mammal	Cervidae	Herbivore	Forest
Mouse	Small	Native	Mammal	Rodenta and related	Omnivore	Widespread
Mule	Big	Native	Mammal	Equine	Herbivore	Farm
Muskrat	Small	Native	Mammal	Rodenta and related	Omnivore	Water
Newt	Small	Native	Fish	Squamata	Carnivore	Water
Ocelot	Small	Foreign	Mammal	Feline	Carnivore	Widespread
Octopus	Small	Native	Fish	Mollusk	Carnivore	Water
Okapi	Small	Foreign	Mammal	Artiodactyla	Herbivore	African/jungle
Opossum	Small	Native	Mammal	Marsupial	Omnivore	Forest
Ostrich	Big	Foreign	Bird	Land bird	Omnivore	African/jungle
Otter	Small	Native	Mammal	Rodenta and related	Carnivore	Water
Ox	Small	Native	Mammal	Bovine	Herbivore	Farm
Oyster	Small	Native	Fish	Mollusk	Omnivore	Water
Panda	Small	Foreign	Mammal	Ursine	Herbivore	African/jungle
Panther	Big	Foreign	Mammal	Feline	Carnivore	African/jungle
Parakeet	Small	Foreign	Bird	Flying bird	Herbivore	Pet
Parrot	Small	Foreign	Bird	Flying bird	Herbivore	Pet
Peacock	Small	Foreign	Bird	Fowl	Omnivore	African/jungle
Pelican	Small	Native	Bird	Flying bird	Carnivore	Water
People	Big	Native	Mammal	Primate	Omnivore	Widespread
Pig	Small	Native	Mammal	Porcine	Omnivore	Farm
Pigeon	Small	Native	Bird	Flying bird	Herbivore	Widespread
Platypus	Small	Foreign	Mammal	Marsupial	Carnivore	Australia
Polar bear	Big	Foreign	Mammal	Ursine	Carnivore	Water
Pony	Big	Native	Mammal	Equine	Herbivore	Farm
Porcupine	Small	Native	Mammal	Rodenta and related	Omnivore	Widespread
Prairie dog	Small	Native	Mammal	Rodenta and related	Herbivore	Prairie
Puma	Big	Native	Mammal	Feline	Carnivore	Widespread
Python	Small	Foreign	Reptile	Squamata	Carnivore	African/jungle
Rabbit	Small	Native	Mammal	Rodenta and related	Herbivore	Forest
Raccoon	Small	Native	Mammal	Rodenta and related	Omnivore	Forest
Rat	Small	Native	Mammal	Rodenta and related	Omnivore	Widespread
Raven	Small	Native	Bird	Flying bird	Omnivore	Widespread
Reindeer	Big	Foreign	Mammal	Cervidae	Herbivore	Forest
Rhino	Big	Foreign	Mammal	Pachyderm	Herbivore	African/jungle
Roaches	Small	Native	Insect	Crawling insect	Herbivore	Widespread
Robin	Small	Native	Bird	Flying bird	Omnivore	Widespread
Rooster	Small	Native	Bird	Fowl	Herbivore	Farm
Sable	Small	Native	Mammal	Rodenta and related	Omnivore	Forest
Salamander	Small	Native	Fish	Squamata	Carnivore	Water
Salmon	Small	Native	Fish	Salt fish	Carnivore	Water
Sea lion	Big	Native	Mammal	Sea mammal	Carnivore	Water
Seal	Big	Native	Mammal	Sea mammal	Carnivore	Water
Shark	Big	Native	Fish	Salt fish	Carnivore	Water
Sheep	Small	Native	Mammal	Bovine	Herbivore	Farm
Skunk	Small	Native	Mammal	Rodenta and related	Omnivore	Forest

Appendix (*Continued*)

Animal Word List

Item	Size	Location	Class	Order	Diet	Habitat
Snails	Small	Native	Insect	Mollusk	Herbivore	Widespread
Snake	Small	Native	Reptile	Squamata	Carnivore	Widespread
Spider	Small	Native	Insect	Arachniae	Omnivore	Widespread
Squirrel	Small	Native	Mammal	Rodenta and related	Herbivore	Forest
Stag	Big	Native	Mammal	Cervidae	Herbivore	Forest
Swallow	Small	Native	Bird	Flying bird	Carnivore	Widespread
Tapir	Small	Foreign	Mammal	Pachyderm	Herbivore	African/jungle
Tiger	Big	Foreign	Mammal	Feline	Carnivore	African/jungle
Tuna	Big	Native	Fish	Salt fish	Carnivore	Water
Turkey	Small	Native	Bird	Fowl	Omnivore	Farm
Turtle	Small	Native	Reptile	Turtles	Omnivore	Widespread
Walrus	Big	Native	Mammal	Sea mammals	Carnivore	Water
Whale	Big	Native	Mammal	Cetacea	Carnivore	Water
Wolf	Small	Native	Mammal	Canine	Carnivore	Forest
Wren	Small	Native	Bird	Flying bird	Carnivore	Widespread
Yak	Small	Native	Mammal	Bovine	Herbivore	Farm
Zebra	Big	Foreign	Mammal	Equine	Herbivore	African/jungle

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